Gerrymandering under Uncertain Preferences

Benjamin Kelly Rensselaer Polytechnic Institute kellyb3@rpi.edu

Abstract

Gerrymandering is the manipulating of redistricting for political gain. While many attempts to formalize and model gerrymandering have been made, the assumption of known voter preference, or *perfect information*, limits the applicability of these works to model real world scenarios. To more accurately reason about gerrymandering we investigate how to adapt existing models of the problem to work with *imperfect information*. In our work, we formalize a definition of the gerrymandering problem under probabilistic voter preferences, reason about its complexity compared to the deterministic version, and propose a greedy algorithm to approximate the problem in polynomial time under certain conditions.

Problem Definition

- → We defined the problem over a graph of voters, G = (V, E)
- → We ask if it is possible to partition **G** into connected components (districts) subject to certain conditions
 - Does a given candidate win at least a certain number of districts at least a given likelihood?
 - Does a given candidate lose at most a certain number of districts with a given likelihood?
 - ◆ The ratio of the size of the largest district and smallest district must not exceed a parameter, r

Testing of Algorithm

- → We created voters with a 2D coordinate as its "trait" location.
 - ♦ Candidates also have a trait location
 - A voter's weight for supporting a candidate is inversely proportional to distance from candidate
 - ◆ The Plackett-Luce model was used to then assign probabilities to preference profiles for each voter
- \rightarrow The voters were then connected into a graph
 - Edges were created randomly with a given expected degree for each voter in the graph
 - ◆ Voters close to each other in preference more likely to be connected
 - Models people of similar opinions often living near each other
 - Effect controlled by a *homophily factor* from 0 to 1

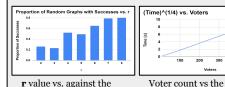
Results

- → We tested our greedy algorithm to analyze both its success rate and its runtime.
- → We confirmed the algorithm scales in polynomial time with increased voters, and exponentially with increased candidates

fourth root of runtime

(2 candidates)

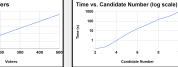
 \rightarrow Increasing **r** had a great effect on the success rate of the algorithm



proportion of graphs

where a successful

solution was found



Candidate number vs runtime (log scale) (100 voters)

Motivation

- → Many "swing" voters don't have known, constant preferences
- → Can we incorporate this uncertainty into a formal definition of gerrymandering?



Complexity

- → The problem is in general NP-Hard
- → With voter weight bounded by *poly*(|**V**|) and candidate number constant, the problem is NP-Complete
- → We developed a greedy algorithm to approximate solutions in polynomial time for the bounded case
 - ◆ Start with a graph of voters with no edges
 - Greedily add the edge that gives the given candidate the highest chance of winning at least the desired proportion of given districts